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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Pascal J.A. Gauthereon, et al.
Appln. No.: 10/562,185
Filed: December 22, 2005
For: SYSTEM AND METHOD OF INVESTING FUNDS
Attorney Docket No: 10022-802

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Examiner: TBA
Art Unit: TBA

TRANSMITTAL

Sir:

Attached are:

- Supplemental Preliminary Amendment (10 pages)
 Return Receipt Postcard

Fee calculation:

- No additional fee is required.
 Small Entity.
 An extension fee in an amount of \$____ for a ____-month extension of time under 37 C.F.R. § 1.136(a).
 A petition or processing fee in an amount of \$____ under 37 C.F.R. § 1.17(____).
 An additional filing fee has been calculated as shown below:

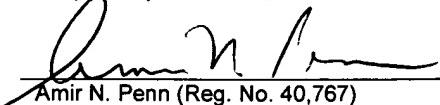
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Small Entity		Not a Small Entity		
					Rate	Add'l Fee	or	Rate	Add'l Fee
Total	28	Minus	28	0	x \$25=			x \$50=	
Indep.	5	Minus	5	0	X100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+ \$360=	
					Total	\$		Total	\$

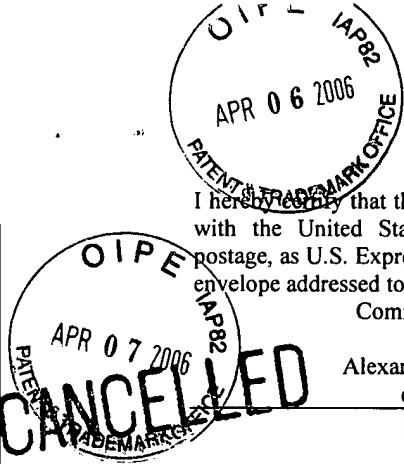
Fee payment:

- A check in the amount of \$____ is enclosed.
 Please charge Deposit Account No. 23-1925 in the amount of \$____. A copy of this Transmittal is enclosed for this purpose.
 Payment by credit card in the amount of \$____ (Form PTO-2038 is attached).
 The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

4/6/06
Date


Amir N. Penn (Reg. No. 40,767)



I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as U.S. Express mail No. EV 316040544 US in an envelope addressed to:

Commissioner for Patents
P.O. Box 1450
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on April 6, 2006

Date of Deposit

Amir N. Penn (40,767)

Name of applicant, assignee or
Registered Representative

Signature

4/6/06

Date of Signature

Our Case No. 10022-802

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Pascal J. Gauthereon, et al.)
Serial No. 10/562,185) Examiner: TBA
Filing Date: December 22, 2005) Group Art Unit No. TBA
For: SYSTEM AND METHOD OF)
INVESTING FUNDS)

SUPPLEMENTAL PRELIMINARY AMENDMENT

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicants file the enclosed Supplemental Preliminary Amendment as follows:

Amendments to the Specification begin on page 2 of this paper.

Claims are reflected in the listing of claims which begins on page 3 of this paper.

Remarks begin on page 10 of this paper.